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# **MULTI-STAGE HELICAL SCREW ROTOR**

This application is a continuation-in-part of  
 U.S. Application Serial No. 09/691,009, filed  
 October 18, 2001, now abandoned.

## **Background of the Invention**

5           The present invention relates to the vacuum pump  
 arts. It finds particular application in a helical screw  
 rotor vacuum pump.

10           Screw vacuum pumps include two pairs of helical  
 rotors attached to shafts which are driven at high speed  
 by an electric motor positioned below the shafts. The  
 rotors have a plurality of teeth on their edge or arrayed  
 on one or both of their faces and, in use, the teeth  
 rotate within a pumping chamber and urge molecules of gas  
 being pumped through the pumping chamber.

15           A gearbox is usually positioned at the driven  
 end of each shaft. The gearbox contains the shaft ends,  
 bearings within which the shaft rotates, any timing gears  
 and the motor positioned about the driven shaft.

20           Oils and/or greases associated with lubrication  
 of the gearbox need to be contained and isolated within  
 the gearbox. This is to ensure cleanliness and prevent  
 non-contamination of the gases being pumped in the pumping  
 chamber and to avoid the possibility of transfer of such  
 contamination back into the enclosure being evacuated.



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in an appreciably larger volume of gas being trapped and accordingly result in less efficient compression.

Accordingly, it is considered desirable to develop an improvement to the power consumption of the pump condition which would reduce power needs at high pressures and reduce rotor sizes, which would overcome the foregoing difficulties and others while providing better and more advantageous overall results.

#### Summary of the Invention

10 In accordance with a first aspect of the present invention, a vacuum pump includes a pump chamber in which an inlet and exhaust port are defined. First and second rotors are mounted parallel to each other in the pump chamber adjacent the inlet and outlet ports. A lobe is  
15 mounted to the first rotor adjacent the inlet port and a channel is defined in the second rotor adjacent the inlet port. The lobe and channel cooperate to form a suction section adjacent the inlet port.

In accordance with another aspect of the present invention, a method is provided for reducing the power consumed to move a volume of gas through a vacuum pump. A first shaft section is defined extending from a first rotor in a pump chamber adjacent an inlet port. A second shaft section is defined extending from a second rotor  
25 adjacent the inlet port. A lobe is provided on the first shaft section and a channel is defined in the second shaft section. The channel matingly engages the lobe to form a suction section between the rotors and the inlet port.

One advantage of the present invention is that  
30 it reduces power needs at high pressures, thus improving pump efficiency.

Another advantage of the present invention is that it reduces the temperature within the pump chamber due to lower power consumption.

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Another advantage of the present invention is that it allows reduction in size of the rotors, thus reducing production costs.

5 Still another advantage of the present invention is that it reduces pump operating costs.

Yet still another advantage of the present invention is that providing the insert at the center of the screw rotors instead of at the ends of the rotors reduces machining costs.

10 Still other advantages and benefits of the invention will become apparent to those skilled in the art upon a reading and understanding of the following detailed description.

#### Brief Description of the Drawings

15 The invention may take form in various components and arrangements of components, and in various steps and arrangements of steps. The drawings are only for purposes of illustrating preferred embodiments and are not to be construed as limiting the invention.

20 FIGURE 1 shows a side elevational cross-sectional view of the existing screw vacuum pump assembly.

FIGURE 2 shows a top elevational view of the existing screw vacuum pump.

25 FIGURE 3 shows a perspective view of a pair of rotors with the suction sections in accordance with the preferred embodiment of the present invention.

FIGURE 4 shows a perspective view of a pair of rotors with the suction sections in accordance with a second preferred embodiment of the present invention.

30 FIGURE 5A shows an elevational view of a screw rotor with a widened center gap.

FIGURE 5B shows a cross-sectional view of a rotor with a widened center gap.

35 FIGURE 6A shows an elevational view of a screw rotor with a V-shaped male lobe in the center gap.

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FIGURE 6B shows a cross-sectional view of a screw rotor with a V-shaped male lobe in the center gap.

FIGURE 6C shows an elevational view of a screw rotor with a V-shaped female portion in the center gap.

5           FIGURE 7A shows an elevational view of a screw rotor with a radius-shaped male lobe in the center gap.

FIGURE 7B shows a cross-sectional view of a screw rotor with a radius-shaped male lobe in the center gap.

10           FIGURE 7C shows an elevational view of a screw rotor with a radius-shaped female portion in the center gap.

FIGURE 8 is a graph of thread pressure vs. thread volume without internal compression.

15           FIGURE 9 is a graph of thread pressure vs. thread volume with internal compression at the ends of the rotors.

FIGURE 10 is a graph of thread pressure vs. thread volume with internal compression at the center gap of the rotors.

FIGURE 11 is a graph of theoretic power vs. inlet pressure.

FIGURE 12 is a perspective view of a pair of rotors with suction sections in accordance with another embodiment of the present invention.

FIGURE 13 is a top view of the rotors of FIGURE 12.

#### Detailed Description of the Preferred Embodiments

With reference to FIGURE 1, an existing screw vacuum pump comprises a vacuum pump 10 comprising a pump chamber 12 having a first end 13, a second end 15, a third end 17 and a fourth end 19. The pump chamber 12 further comprises a central inlet port 14 located at the third end 17 of the chamber 12, through which gas from an enclosure (not shown) connectable to the inlet can be pumped to a

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pump high pressure exhaust port 16 located at the fourth end 19.

The chamber further includes a first pair of rotors 18, 20 located within the chamber adapted for high velocity rotation horizontally within the chamber. The first pair of rotors 18, 20 are mounted on a first shaft 30 extending through the chamber 12 and into bearing mounts 32, 34 located at opposite ends of the shaft 30. The bearing mounts 32, 34 are substantially isolated from the chamber by means of seals 42, 40, respectively, which are mounted on the shaft 30 and located on opposite ends of the shaft 30.

The rotors 18, 20 have teeth 44, 46, respectively, which when mated with a second set of rotors 52, 54 (shown in FIGURE 2) create a plurality of closed chambers or cells 47 in the pump chamber 12 and urge molecules of gas to be pumped through the cells. The rotors each have low pressure inlet faces 48, 50 through which the inlet gas enters the rotor from the inlet port 14. The teeth 44 on the rotor 18 advance in an opposite direction from the teeth 46 on rotor 20 by virtue of opposite helix direction, thus moving the gas in an opposite direction.

Referring now to FIGURE 2, the second pair of rotors 52, 54 are mounted on a second shaft 60, which is parallel to the first shaft 30. The second shaft 60 includes a bearing mount 62 and a seal 66 at one end of the shaft and a bearing mount 64 and a seal 68 at the opposite end of the shaft. The rotors 52, 54 have teeth 70, 72 which also advance in opposite directions from each other. The second set of rotors 52, 54 also have inlet faces 80, 82 through which gas enters the rotors from the inlet port 14.

The seals can be of a close tolerance but non-contact design. The seals 40, 68 are located adjacent an end plate 90 which is flush with ends 91, 93 of the rotor assemblies 18 and 52. The seals 42, 66 are located

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adjacent end plate 92 which is flush with the ends 95, 97 of the rotor assemblies 20 and 54.

Referring again to FIGURE 1, gas enters the pump through the low pressure inlet port 14. The gas then moves in opposite directions along the helical rotors 18, 20, 52, 54 toward exhaust ports 86, 88 which are located at the first and second ends 13, 15 of the pump chamber 12 at end plates 90, 92, respectively. End plate 90 is located at end plane 100 and end plate 92 is located at end plane 102. The gas is essentially captured between the teeth of rotors 18, 20, 52, 54 and the fixed volume of gas is moved along the rotors 18, 20, 52, 54 toward the opposite end planes 100, 102. Rotors 18 and 52 move the gas toward end plane 100. Rotors 20 and 54 move the gas toward end plane 102. As the rotors are rotated on shafts 30, 60, the threads of the rotor threads move toward the end planes 100, 102. The seals each include a stationary side 98, 104, 106, 108, respectively, which are pressed into the end plates 90, 92.

Referring again to FIGURE 2, the teeth 44 of the rotor 18 mesh with the teeth 70 of rotor 52 and push the fixed volume of gas toward the end plane 100. The teeth 46 of rotor 20 mesh with the teeth 72 of rotor 54 and push another fixed volume of gas in an opposite direction toward the end plane 102.

A motor 110 drives the shafts 30, 60. Referring to FIGURE 2, the motor 110 is located beneath gearboxes 120, 122 at the motor drive end 112. The bearing mounts 32, 34, 62, 64 surround the shafts 30, 60 and house bearings within which the shafts 30, 60 rotate. Referring to FIGURE 1, On the motor drive end 112 of the shafts, there is a pair of angular contact bearings 114, 116 which position the shafts radially and hold them in place axially in the pumping chamber. On the opposite side of the shaft is a single ball bearing 130 which also provides radial and axial support for the shafts.

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As the gas enters the two exhaust ports 86, 88, it is transported to a first exhaust cavity 126 located at exhaust port 86 and to a second exhaust cavity 128 located at exhaust port 88. The first and second exhaust cavities lead to a third exhaust cavity 132 through which the gas flows into the high pressure exhaust port 16.

Referring to FIGURE 3, rotors 18, 20, 52, 54 have screw thread sections 19, 21, 53, 55, respectively, which extend in opposite directions from the center of the rotors. At the center of the rotors 18, 20, 52, 54 are center shafts 140, 150 which are positioned below the inlet port 14 within the pump chamber. The shafts 140, 150 are positioned in the center gaps of the rotors. The center gaps have been increased in width to form the shafts 140, 150.

A preferred embodiment of the present invention comprises the shaft 140 having a raised relief male lobe 142 and a female channel 143 which is 180° opposite to the lobe 142 and is the negative profile of the lobe. Lobe 142 engages a correspondingly hollow female or channel portion 152 in the second shaft 150. Shaft 150 also has a lobe 153 which is 180° opposite channel 152 and is the negative profile of the channel. The male lobe 142 and the corresponding female portion or channel 152 are shown to be V-shaped in FIGURE 3. The lobe 142 and channel 152 form a suction section 154. Channel 143 and lobe 153 also form a suction section opposite section 154.

However, in a second preferred embodiment, shafts 170 and 180 include a male lobe 172 and a female channel 182 which are round or radius-shaped as shown in FIGURE 4. This radius (R) may be increased up to and including R is equal to infinity; in which case, the leading edge of the insert would be a straight line. This straight line may be parallel to the shaft centerline. The lobe 172 and channel 182 form a suction section 184. Similarly, shaft 170 also includes a channel 173 which is 180° opposite lobe 172 and shaft 180 includes a lobe 183



which is 180° opposite channel 182. There are other embodiments of the suction sections including multi-lobed suction sections which are not shown.

As seen in FIGURE 1, the existing pump screws  
5 have small center gaps 160. As seen in FIGS. 5A and 5B, the modification to the screw rotors includes increasing the width of the center gap shaft 190. As shown in FIGS. 6A, 6B, and 6C, a V-shaped insert is added to the center gap to forming male lobe 142 and correspondingly female  
10 channel 143 in shaft 140. FIGURE 6C illustrates female channel 152 in shaft 150 and correspondingly male lobe 153. FIGS. 7A and 7B show a radius-shaped lobe 172 and female channel 173 in shaft 170. FIGURE 7C shows a corresponding radius-shaped female channel 182 and lobe  
15 183 in shaft 180.

FIGURE 3 illustrates the interaction of the male lobe 142 and the female channel 152. Gas is sucked in through the inlet port 14 into the shaft sections 140, 150 and is compressed by the male lobe 142 and the female  
20 channel 152. At the initial stage, the suction section 154 increases in volume as the rotors rotate, drawing gas into the pumping chamber. At the point where shaft 150 reaches maximum volume, a position equivalent to that shown for shaft 140 in FIGURE 3, the male lobe closes the  
25 suction section 154 to the inlet opening. With further rotation, the male lobe compresses the trapped suction gas into the adjacent screw section(s). The gas tightness of the suction section 154 is kept by the male lobe 142 and the female channel 152. The increase in compression of  
30 the gas resulting from the suction sections reduces the amount of power consumed to move a volume of gas through the pump.

Under normal vacuum operation, the power consumption is predominately determined by the rotor  
35 diameter and the screw pitch at the exhaust ends of the rotor. With the increased intake volume created by the suction section, the screws are supercharged, moving a

considerably higher quantity of gas, determined by the selected volume ratio ( $V_r$ ), with the same power consumption. The amount of power saved is illustrated in FIGURE 10.

FIGURE 8 is a graph illustrating power needed to move a volume of 100 cubic meters of gas per hour through the screw rotor without any internal compression. That is, the area within the curve is theoretical power consumed (3kW of power) at an inlet pressure ( $P_i$ ) of 10 mbar and an exhaust pressure of 1100 mbar. The built-in volume ratio  $V_r$  is equal to 1 (one) since there is no internal compression. That is, the volume ratio is equal to the volume of gas trapped in the first screw thread at the inlet versus the volume of gas trapped in the last screw thread at the exhaust. Since there is no internal compression, the ratio is equal to 1. The cycle proceeds as follows. From state 0 to state 1, the volume of the thread is increasing with rotation of the rotor. At state 1, the first thread is closed to the inlet port. From state 1 to state 2, the closed thread advances from the inlet end to the exhaust end with the corresponding increase in pressure and without any reduction in volume. At state 2, the thread is opened to the exhaust plane. From state 2 to state 3, the transported gas is expelled from the pump. This amount of power is roughly equivalent to that which would be consumed by a roots blower or by a screw pump to move a volume of gas without internal compression (i.e., without any end plates).

Referring now to FIGURE 9, the graph illustrates  
30 that a power savings is obtained when internal compression  
is added to the pump at the exhaust ends of the pump  
cavity.

The gas begins entering the pump chamber at state 0. This continues until maximum volume is achieved at state 1. 35 From state 1 to state 2, the gas is transported from the inlet end to the exhaust end without any reduction in volume. At state 2, the thread is not immediately exposed

to the exhaust by virtue of a close clearance end plate with a timed exhaust opening. From state 2, the thread arriving at the end plane is compressed against the end plate until the time when it is exposed to the exhaust opening at state 3. Depending on the thread pressure realized at state 2, and the selected  $V_r$ , there may be an over compression or under compression at state 3 (a slight over compression is shown). Upon exposure to the exhaust port, the thread pressure instantaneously achieves exhaust pressure (state 4). From state 4 to state 5, the gas is expelled from the pump.

The compression power needed to move a 100 cubic meter volume of gas per hour is 2.7 kW which is an approximately 10 percent savings in power from when there is no internal compression (3 kW of power). The built-in volume ratio ( $V_r$ ) is 1.7. That is, the ratio of volume trapped in the first screw thread is 1.7 times the volume of gas trapped at the last screw thread at the exhaust.

In FIGURE 10, the graph illustrates the power savings due to internal compression which occurs in the preferred embodiment of the present invention. In the present invention, the internal compression occurs at the center gaps below the inlet port as the gas is pumped into the opposite screw sections. This results in an over 50 percent reduction in power consumed as compared to the power and when there is no internal compression. That is, the power consumed to move 100 cubic meters of gas per hour through the pump chamber to the exhaust is 1.3 kW as compared to 3 kW without internal compression. The built-in volume ratio  $V_r$  is 2.3. That is, the ratio of volume trapped in the suction section 154 is 2.3 times the volume trapped at the last screw thread at the exhaust.

FIGURE 11 illustrates various types of theoretical power versus inlet pressure. Isochoric pressure is shown which is pressure with constant volume pumping. Adiabatic pressure is shown which is pressure without heat exchange with the surroundings. The

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isothermal curve reflects power consumed when there is no change in temperature.

A fixed  $V_r$  of 3 allows more power to be saved at low inlet pressure. That is, the higher the volume ratio, the more power is saved. Thus, at a  $V_r$  of 2.3 (corresponding to FIGURE 10) where internal pressure occurs at the center gap, additional power is saved than where internal compression occurs at the end of the rotors ( $V_r = 1.7$ , FIGURE 9). By varying the width of the center gap, the volume ratio can be altered thus changing the power consumption.

As the volume is compressed, the temperature within the pump chamber increases. When the volume is compressed at the end of the rotors, the temperature rises at the ends of the rotors. Since the volume is gradually compressed, the heat within the screw is distributed over the length of the screw. With the preferred embodiment of the present invention, since less power is needed to move the volume of gas, there is less temperature increase in the pump chamber.

With reference to FIGURES 12 and 13, a first rotor 218 includes a series of helical threads or teeth 244. A first shaft section 240 extends from an end of the helical threads adjacent an inlet port. A second rotor 254 defines a second set of helical threads or teeth 270 which mesh with the helical threads 244 of the first rotor. As the first and second rotors rotate, the helical threads pump gases from an inlet port, along their length, to an exhaust port adjacent an opposite end thereof. The second rotor 254 has a second shaft portion 250 extending from an inlet port end thereof. The first shaft portion 240 carries a lobe 242 which is received in a complementary channel 252. The second shaft section 250, 180° displaced from the first lobe and channel arrangement, defines a lobe 242' and the first shaft portion 240 defines a channel 252'.

